



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: **DEX-0214**  
Inventors: **Macina et al.**  
Serial No.: **09/909,567**  
Filing Date: **July 20, 2001**  
Examiner: **Not yet assigned**  
Group Art Unit: **Not yet assigned**  
Title: **Compositions and Methods Relating to Lung Specific Genes**

"Express Mail" Label No. **EV 017478523 US**  
Date of Deposit **March 11, 2002**

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the U.S. Patent and Trademark Office, PO Box 2327, Arlington, VA 22202.

By *Kathleen A. Tyrrell*  
Typed Name: **Kathleen A. Tyrrell, Reg. No. 38,350**

U.S. Patent and Trademark Office  
**BOX Sequence**, P.O. Box 2327  
Arlington, VA 22202

Sir:

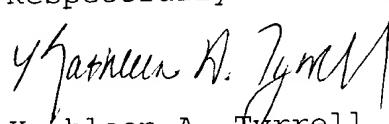
**AMENDMENT**

In response to the "Notice to Comply" dated **January 10, 2002**, a response to which is due **March 10, 2002**, it is requested that the paper copy and CRF copy of the Sequence Listing be replaced with the new Sequence Listing provided herewith.

The new Sequence Listing has been amended to conform with the current Sequence Listing Rules.

No new matter has been added.

Respectfully submitted,



Kathleen A. Tyrrell  
Registration No. 38,350

Date: March 11, 2002

LICATA & TYRRELL P.C.  
66 E. Main Street  
Marlton, New Jersey 08053

(856) 810-1515

Substitute copy of the computer readable form of Sequence Listing;

Copy of Notice to Comply;

Petition for Three (3) Month Extension of Time;

Other: \_\_\_\_\_.

The Commissioner is hereby authorized to charge any underpayment associated with this communication or credit any overpayment to Deposit Account No. 50-1619. This sheet is attached in duplicate.

Respectfully submitted,

  
Kathleen A. Tyrrell  
Registration No. 38,350

Date: March 11, 2002

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UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/909,567	07/20/2001	Roberto A. Macina	DEX-0214

CONFIRMATION NO. 4354

FORMALITIES LETTER



\*OC00000007293834\*

26259  
LICATA & TYRRELL P.C.  
66 E. MAIN STREET  
MARLTON, NJ 08053

Date Mailed: 01/10/2002

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE

DEX-0214

CERTIFICATE OF EXPRESS MAILING

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- 1) Response to Notice to Comply dated January 10, 2002 ( in duplicate);
- 2) Amendment;
- 3) Statement to Support Filing and Submission in Accordance With 37 C.F.R. § 1.821 Through 1.825;
- 4) Diskette containing computer readable copy of Substitute Sequence Listing;
- 5) Paper copy of Substitute Sequence Listing;
- 6) Copy of Notice to Comply Request;
- 7) Return Postcard.

  
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Kathleen A. Tyrrell



03-13-02

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By Kathleen A. Tyrrell  
Typed Name: Kathleen A. Tyrrell, Reg. No. 38,350

U.S. Patent and Trademark Office  
BOX Sequence, P.O. Box 2327  
Arlington, VA 22202

Sir:

**RESPONSE TO NOTICE TO COMPLY**

In response to the "Notice to Comply" dated January 10, 2002, a response to which is due March 10, 2002, enclosed herewith is:

- (XX) Amendment under 1.825;
- (XX) Statement to Support Filing and Submission in Accordance with 37 CFR §§1.821 through 1.825;
- (XX) Substitute pages of the Sequence Listing;